

UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
1998JP313/CIP

Total Pages in this Submission
39

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

COATING COMPOSITION

and invented by:

TAKAMASA HARADA et al

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/763,927

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 18 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☐ Brief Description of the Drawings (if filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

The PTO did not receive the following
listed item(s): Specification 18 page

00746 U.S. PTO
10/608392

06/27/03

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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1998JP313/CIP

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Application Elements (Continued)

3. ☐ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets _____
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EV 068893142

(Only for new nonprovisional applications under 37 CFR 1.53(b))

39

P01ULRG/REV06

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(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	19	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$750.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$750.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 03-2060 as described below.
- ☒ Charge the amount of \$750.00 as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Dated: June 27, 2003

Alan P. Kass, Reg. 32,142
Clariant Corporation
70 Meister Avenue
Somerville, NJ 08876
telephone (908) 595-3890

CC:

Customer No. 26,289

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
1998JP313/CIP

In Re Application Of: **Takamasa HARADA et al**

Serial No.
TO BE ASSIGNED

Filing Date
June 27, 2003

Examiner
TO BE ASSIGNED

Group Art Unit
TO BE ASSIGNED

Title: **COATING COMPOSITION**

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is: 1) Preliminary Amendment - 4 pages 2) Utility Patent Application
Transmittal - 4 pages 3) Declaration for Utility Patent Application - 2 pages 4) Patent Application
consisting of pages 1 through 18 5) copies of Auto-Reply Facsimile Transmission, Fax Cover Sheet to
Examiner Wilson, Petition for One-Month Extension of Time 6) postcard 7) Certificate of Mailing by
Express Mail for items 1 through 6

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.


Signature

Dated: **June 27, 2003**

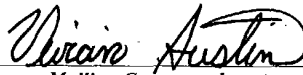
Alan P. Kass, Reg. No. 32,142
Clariant Corporation
70 Meister Avenue
Somerville, NJ 08876
telephone (908) 595-3890

I certify that this document and fee is being deposited
on _____ with the U.S. Postal Service as
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Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

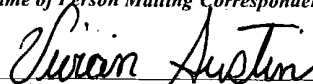
CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): Takamasa Harada et al			1998JP313/CIP
Serial No. TO BE ASSIGNED	Filing Date June 27, 2003	Examiner TO BE ASSIGNED	Group Art Unit TO BE ASSIGNED
Invention: COATING COMPOSITION			
I hereby certify that the following correspondence:			
UTILITY PATENT APPLICATION TRANSMITTAL - PAGE 1 THROUGH 4			
(Identify type of correspondence)			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on			
June 27, 2003 (Date)			
Vivian Austin (Typed or Printed Name of Person Mailing Correspondence)			
 (Signature of Person Mailing Correspondence)			
EV 068893142 US ("Express Mail" Mailing Label Number)			
Note: Each paper must have its own certificate of mailing.			

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1998JP313/CIPSerial No.
TO BE ASSIGNEDFiling Date
June 27, 2003Examiner
TO BE ASSIGNEDGroup Art Unit
TO BE ASSIGNEDInvention: **COATING COMPOSITION**

I hereby certify that the following correspondence:

UTILITY PATENT APPLICATION CONSISTING OF PAGES 1 THROUGH 18*(Identify type of correspondence)*

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Takamasa Harada et al

Docket No.

Serial No.

TO BE ASSIGNED

Filing Date

June 27, 2003

Examiner

TO BE ASSIGNED

Group Art Unit

TO BE ASSIGNED

Invention: COATING COMPOSITION

I hereby certify that the following correspondence:

GENERAL TRANSMITTAL SHEET

(Identify type of correspondence)

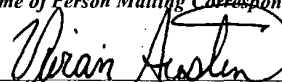
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June 27, 2003

(Date)

Vivian Austin

(Typed or Printed Name of Person Mailing Correspondence)




(Signature of Person Mailing Correspondence)

EV 068893142 US

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Takamasa Harada et al			Docket No.
Serial No. TO BE ASSIGNED	Filing Date June 27, 2003	Examiner TO BE ASSIGNED	Group Art Unit TO BE ASSIGNED
Invention: COATING COMPOSITION			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;">Petition for One-Month Extension of Time to Examiner Wilson (09/763,927) - 3 pages</div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>June 27, 2003</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u>Vivian Austin</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p><u></u> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV 068893142 US</u> <i>("Express Mail" Mailing Label Number)</i></p></div>			
Note: Each paper must have its own certificate of mailing.			

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Takamasa Harada et al

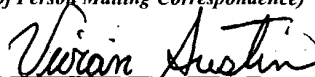
Docket No.
1998JP313/CIPSerial No.
TO BE ASSIGNEDFiling Date
June 27, 2003Examiner
TO BE ASSIGNEDGroup Art Unit
TO BE ASSIGNED

Invention: COATING COMPOSITION

I hereby certify that the following correspondence:

DECLARATION FOR UTILITY PATENT APPLICATION*(Identify type of correspondence)*

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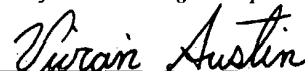
June 27, 2003*(Date)*Vivian Austin*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EV 068893142 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Takamasa Harada et al**Docket No.
1998JP313/CIPSerial No.
TO BE ASSIGNEDFiling Date
June 27, 2003Examiner
TO BE ASSIGNEDGroup Art Unit
TO BE ASSIGNEDInvention: **COATING COMPOSITION**

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PRELIMINARY AMENDMENT - PAGES 1 THROUGH 4*(Identify type of correspondence)*

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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s): **TAKAMASA HARADA et al**

Docket No.

1998JP313

Serial No.:

09/763,927

Filing Date

February 26, 2001

Examiner

WILSON, Donald R.

Group Art Unit

1713

Invention: **COATING COMPOSITION**

I hereby certify that this Petition for One-Month Extension of Time
(Identify type of correspondence)

is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-872-9311

on June 27, 2003
(Date)

Vivian Austin

(Typed or Printed Name of Person Signing Certificate)

(Signature)

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